

# The Identification of Partially Coated Dielectric Objects

Fioralba Cakoni

cakoni@math.udel.edu

Department of Mathematical Sciences  
University of Delaware, USA

*Research supported by AFOSR*

# Outlines

- The aim is to **identify (not only detect!) complex scattering objects** using time harmonic electromagnetic radiation.
  - We want to find the **shape**, and extract **information about the physical properties** of the object.
  - We want rely on **little a priori information** and do it in a rather **quick and simple** way.
  - We have to use **multistatic data**. Shape reconstruction is **not very sharp** and **not complete recovery of the physical properties** is achieved.
- The inversion algorithm will be based on the "solution" of the equation used by the **linear sampling method** (*Colton-Kirsch 1996*).

INTERACTION  
OF MECHANICS  
AND MATHEMATICS

The Interaction of Mechanics and Mathematics (IMM) series publishes advanced textbooks and introductory scientific monographs devoted to modern research in the wide area of mechanics. The authors are distinguished specialists with international reputation in their field of expertise. The books are intended to serve as modern guides in their fields and anticipated to be accessible to advanced graduate students. IMM books are planned to be comprehensive reviews developed to the cutting edge of their respective field and to list the major references.

CAKONI · COLTON

CAKONI · COLTON  
Qualitative Methods in Inverse Scattering Theory



Inverse scattering theory has been a particularly active and successful field in applied mathematics and engineering for the past twenty years. The increasing demands of imaging and target identification require new powerful and flexible techniques besides the existing weak scattering approximation or nonlinear optimization methods. One class of such methods comes under the general description of qualitative methods in inverse scattering theory. This textbook is an easily-accessible "class-tested" introduction to the field. It is accessible also to readers who are not professional mathematicians, thus making these new mathematical ideas in inverse scattering theory available to the wider scientific and engineering community.

Qualitative Methods in Inverse Scattering Theory

INTERACTION  
OF MECHANICS  
AND MATHEMATICS

FIORALBA CAKONI  
DAVID COLTON

# Qualitative Methods in Inverse Scattering Theory

An Introduction

 Springer

Gestalter  
ERICH KIRCHNER  
Heidelberg

Druckfarben  
HKS 15 rot  
HKS 4 gelb

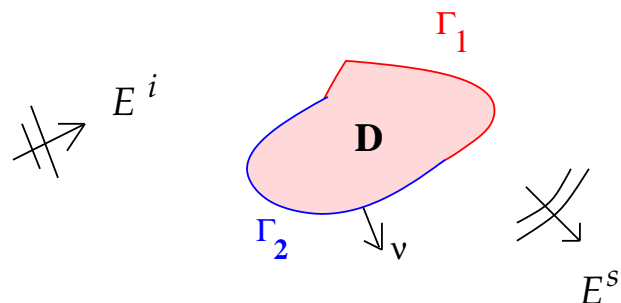
Dieser Färbaser-Ausdruck  
dient nur als Anhaltspunkt  
für die farbliche Wiedergabe  
und ist nur bedingt  
farbverbindlich.



springeronline.com

Available  
online  
springerlink.com

# Scattering by an Anisotropic Medium



- The scatterer is an infinitely long cylinder.
- The incident wave is such that the electric field is polarized  $\perp$  to the cylinder axis.

- The dielectric is orthotropic, i.e. the index of refraction is given by

$$N(x) = \frac{1}{\epsilon_0} \left( \epsilon(x) + i \frac{\sigma(x)}{\omega} \right) = \begin{pmatrix} n_{11} & n_{12} & 0 \\ n_{21} & n_{22} & 0 \\ 0 & 0 & n_{33} \end{pmatrix}.$$

Thus the incident, scattered and interior magnetic fields have the form

$$H^i(0, 0, u^i), \quad H^{int} = (0, 0, v), \quad H^s = (0, 0, u^s)$$

# The Forward Problem

$$\nabla \cdot A(x) \nabla v + k^2 v = 0 \quad \text{in } D$$

$$\Delta u + k^2 u = 0 \quad \text{in } \mathbb{R}^2 \setminus \bar{D}$$

$$v - u = 0 \quad \text{on } \partial D_t$$

$$v - u = -i\eta(x) \frac{\partial u}{\partial \nu} \quad \text{on } \partial D_c$$

$$\frac{\partial v}{\partial \nu_A} - \frac{\partial u}{\partial \nu} = 0 \quad \text{on } \partial D$$

$$\lim_{r \rightarrow \infty} \sqrt{r} \left( \frac{\partial u^s}{\partial r} - iku^s \right) = 0$$

$$u(x) = u^s(x) + e^{ikx \cdot d}, \quad d \in \Omega := \{x : |x| = 1\}, \quad \frac{\partial v}{\partial \nu_A} := \nu \cdot A \nabla v$$

# The Forward Problem

We assume:

- $\partial D$  is piecewise smooth.
- In general,  $A$  is a symmetric matrix-valued function with piecewise  $C^1$  entries in  $\overline{D}$ .
- $\operatorname{Re}(\overline{\xi} \cdot A\xi) \geq \gamma|\xi|^2$  and  $\operatorname{Re}(\overline{\xi} \cdot A^{-1}\xi) \geq \gamma|\xi|^2$ ,  $\gamma > 0$
- $\operatorname{Im}(\overline{\xi} \cdot A\xi) \leq 0$ .
- $\eta \in L_\infty(\partial D_c)$  such that  $\eta(x) \geq \eta_0 > 0$

# Inverse Scattering Problem

The scattered field  $u^s$  has the asymptotic behaviour

$$u^s(x) = \frac{e^{ikr}}{\sqrt{r}} u_\infty(\hat{x}, d) + O\left(r^{-3/2}\right)$$

as  $r \rightarrow \infty$  where  $r = |x|$ ,  $\hat{x} = x/r$ ,  $k$  is fixed and  $u_\infty$  is the **far field pattern** of the scattered field  $u^s$ .

The **inverse scattering problem** is to determine the **shape**  $D$ , the **coated part**  $\partial D_c$ , the **surface conductivity**  $\eta$  and the **index of refraction**  $A$ , from a knowledge of  $u_\infty(\hat{x}, d)$  for  $\hat{x}, d \in \Omega$  (and possibly for a range of frequencies  $k$ ).

**In fact** in the following it suffices to know  $u_\infty(\hat{x}, d)$  only for  $d \in \Omega_1 \subset \Omega$  and  $\hat{x} \in \Omega_2 \subset \Omega$

# Uniqueness Theorems

**Theorem:** (Uniqueness of  $D$ )

Assume that either  $\bar{\xi} \operatorname{Re}(A - I)\xi \geq \delta \|\xi\|^2 > 0$  or  $\bar{\xi} \operatorname{Re}(I - A)\xi \geq \delta \|\xi\|^2 > 0$  in  $D$  for some  $\delta$ . Then,  $D$  is uniquely determined by  $u_\infty(\hat{x}, d)$  for  $\hat{x}, d \in \Omega$  and a fixed value of the wave number  $k$ .

**Proof** by Hähner (2000) for  $\eta = 0$ , Cakoni-Colton (2003) for  $\eta > 0$

*The main idea is to use the well-posedness of the corresponding Interior Transmission Problem which will be discussed later in this talk.*

# Uniqueness Theorems

**Theorem:** (Uniqueness of  $\eta$ ) Given  $A$  and  $D$ , suppose that for an arbitrary  $\Gamma_0 \subset \partial D$  there exists an incident direction  $d$  such that  $\partial u / \partial \nu \neq 0$  in  $\Gamma_0$ . Then  $\eta \in C(\overline{\partial D_c})$  is uniquely determined from  $u_\infty(\hat{x}, d)$  for  $\hat{x}, d \in \Omega$  and a fixed value of the wave number  $k$ .

**Proof** by Cakoni-Colton-Monk (2005).

*In the anisotropic case, i.e.  $A = a(x)I$ , the reconstruction formula for  $\eta$  in Cakoni-Sini-Zeev (to appear) also proves the uniqueness for  $\eta \in C(\overline{\partial D_c})$  without the assumption that  $a$  is fixed.*

O'Dell (2006)

# Uniqueness Theorems

## Uniqueness of $A$

- If  $A$  is a matrix (anisotropic case) it is known that  $u_\infty(\hat{x}, d)$  for  $\hat{x}, d \in \Omega$  does **not** uniquely determine  $A$  even if it is known for an interval of values of  $k$ .

*Gylys-Colwell (1996)*

- If  $A(x) = a(x)I$  (isotropic case) Gylys-Colwell (1996) has shown that  $u_\infty(\hat{x}, d)$  for  $\hat{x}, d \in \Omega$  for two different frequencies uniquely determine  $a(x)$  provided that  $a(x)$  is sufficiently close to a constant.

*Note that in  $\mathbb{R}^3$  the assumption that  $a(x)$  is sufficiently close to a constant is not needed; see Nachman (1987).*

# The Far Field Equation

Let  $\Phi(x, z) := \frac{i}{4} H_0^{(1)}(k|x - z|)$  which has the far field pattern

$$\Phi_\infty(\hat{x}, z) = \frac{e^{i\pi/4}}{\sqrt{8\pi k}} e^{-ik\hat{x}\cdot z}.$$

Define the **far field equation**

$$(Fg)(\hat{x}) = \Phi_\infty(\hat{x}, z), \quad g \in L^2(\Omega), \quad z \in \mathbb{R}^2$$

where  $F : L^2(\Omega) \rightarrow L^2(\Omega)$  is the **far field operator** defined by

$$(Fg)(\hat{x}) := \int_{\Omega} u_\infty(\hat{x}, d) g(d) ds(d).$$

# The Far Field Equation

For  $z$  in  $D$  the far field equation has a solution  $g$  iff there exist a solution  $(v_z, w_z)$  of the **interior transmission problem**

$$\begin{aligned}
 \nabla \cdot \mathbf{A} \nabla w_z + k^2 w_z = 0 \quad \text{and} \quad \Delta v_z + k^2 v_z = 0 & \quad \text{in} \quad D \\
 w_z - v_z = \Phi(\cdot, z) & \quad \text{on} \quad \partial D_t \\
 w_z - v_z = \Phi(\cdot, z) - i\eta \frac{\partial}{\partial \nu} (v_z + \Phi(\cdot, z)) & \quad \text{on} \quad \partial D_c \\
 \frac{\partial w_z}{\partial \nu_A} - \frac{\partial v_z}{\partial \nu} = \frac{\partial}{\partial \nu} \Phi(\cdot, z) & \quad \text{on} \quad \partial D
 \end{aligned}$$

such that  $v_z$  is a Herglotz wave function  $v_g(x) := \int_{\Omega} e^{ikx \cdot d} g(d) ds(d)$ .

# Interior Transmission Problem

Letting  $\mathbf{U} = A\nabla w_z - \nabla v_z$  then the solvability of the interior transmission problem follows from the study of the following bilinear form (Cakoni, Colton and Haddar (2007)): For  $\mathbf{U}, \psi \in \mathcal{H}_0$

$$\mathcal{A}(\mathbf{U}, \psi) := i \int_{\partial D_c} \eta (\nabla \cdot \mathbf{U}) (\nabla \cdot \bar{\psi}) ds + \int_D (A^{-1} - I)^{-1} (\nabla \nabla \cdot \mathbf{U} + k^2 \mathbf{U}) \cdot (\nabla \nabla \cdot \bar{\psi} + k^2 A^{-1} \bar{\psi}) dx$$

$$\mathcal{H}_0 := \{u \in L^2(D), \nabla \cdot u \in H^1(D), \nu \cdot u|_{\partial D} = 0, \nabla \cdot u|_{\partial D_t} = 0\}$$

**Definition:** **Transmission eigenvalues** are the values of  $k > 0$  for which the homogeneous interior transmission problem (i.e. if  $\Phi(\cdot, z) = 0$ ) has a nontrivial solution.

# ITP: What is known!

Assume that in  $D$  we have

either **C1**  $\|\mathcal{R}e A^{-1}\|_2 \geq \delta > 1$  or **C2**  $0 < \beta \leq \|\mathcal{R}e A^{-1}\|_2 \leq \delta < 1$ .

- The interior transmission problem satisfies the Fredholm alternative in  $H^1(D) \times H^1(D)$ .
- If  $\mathcal{I}m(\bar{\xi} \cdot A\xi) < 0$  in  $D$  then there are no transmission eigenvalues.
- If  $\mathcal{I}m(\bar{\xi} \cdot A\xi) = 0$  then the set of transmission eigenvalues is discrete.
- Any transmission eigenvalue  $k > 0$  must satisfy

$$k^2 \geq \frac{\lambda(D)}{\sup_D \|A^{-1}\|_2} \text{ if } \mathbf{C1} \text{ holds} \quad \text{and} \quad k^2 > \lambda(D) \text{ if } \mathbf{C2} \text{ holds,}$$

where  $\lambda(D)$  is the first Dirichlet eigenvalue of  $-\Delta$  on  $D$ .

# ITP: Open Problems!

- Do we have uniqueness for the solution the interior transmission problem for  $\eta \neq 0$ ?
- Do transmission eigenvalues exists?

The first result in this direction is due to Sylvester and Päivärinta (2007) for the case of  $\Delta u + k^2 n(x)u = 0$ . They have shown that there exists one transmission eigenvalue providing the contrast  $n - 1 > 0$  is large enough.

# Remarks

- If  $k$  is a transmission eigenvalue and  $v$  is a Herglotz wave function then the far field operator  $F : L^2(\Omega) \rightarrow L^2(\Omega)$  is not injective with dense range, where  $v, w$  is the non zero solution of the homogeneous interior transmission problem, i.e. for  $\Phi(\cdot, z) = 0$ .
- If  $v_z, w_z$  is a solution to the interior transmission problem then for every  $\epsilon > 0$  there is a Herglotz wave function  $v_{g_\epsilon}$  such that

$$\|v_{g_\epsilon} - v_z\|_{H^1(D)} < \epsilon.$$

Colton-Kress (2001)

Furthermore, for a given  $\Omega_0 \subset \Omega$  the above  $v_{g_\epsilon}$  can be chosen such that  $g_\epsilon$  is supported on  $\Omega_0$ , Cakoni-Colton (2003)

# Solving the Far Field Equation

We return to the far field equation  $(Fg)(\hat{x}) = \Phi_\infty(\hat{x}, z)$ . Assume that  $k > 0$  is not a transmission eigenvalue. Then

- For  $z \in D$  and a given  $\epsilon > 0$  there exists a  $g_z^\epsilon \in L^2(\Omega)$  such that

$$\|Fg_z^\epsilon - \Phi_\infty(\cdot, z)\|_{L^2(\Omega)} < \epsilon$$

and the Herglotz wave function  $v_{g_z^\epsilon}$  converges in  $H^1(D)$  to  $v_z$  where  $v_z, w_z$  is the solution of the interior transmission problem. Furthermore,

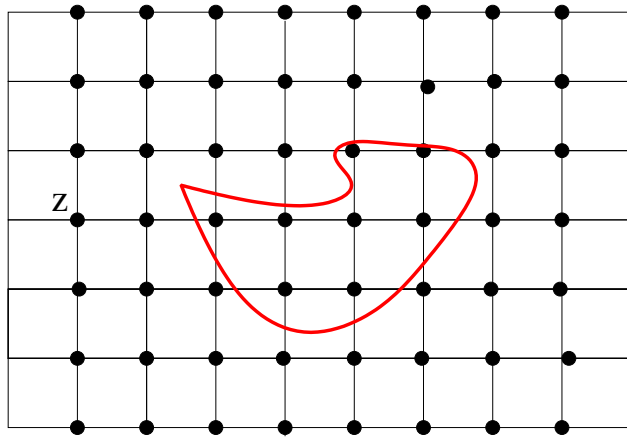
$$\lim_{z \rightarrow \partial D} \|v_{g_z^\epsilon}\|_{H^1(D)} = \infty \text{ and } \lim_{z \rightarrow \partial D} \|g_z^\epsilon\|_{L^2(\Omega)} = \infty$$

- For  $z \in \mathbb{R}^2 \setminus \overline{D}$  and a given  $\epsilon > 0$ , every  $g_z^\epsilon \in L^2(\Omega)$  that satisfies

$$\|Fg_z^\epsilon - \Phi_\infty(\cdot, z)\|_{L^2(\Omega)} < \epsilon \text{ is such that } \lim_{\epsilon \rightarrow 0} \|v_{g_z^\epsilon}\|_{H^1(D)} = \infty.$$

# Indicator Function

**Note** that for  $z \in D$ ,  $v_{g_z^\epsilon}(z) \rightarrow v_z(z)$  point-wise.



- Construct a grid  $\mathcal{G}$ .
- For  $z_i \in \mathcal{G}$ , solve the **regularized far field equation**  
 $(\alpha I + F^* F) g_{z_i} = \Phi_\infty(\hat{x}, z)$

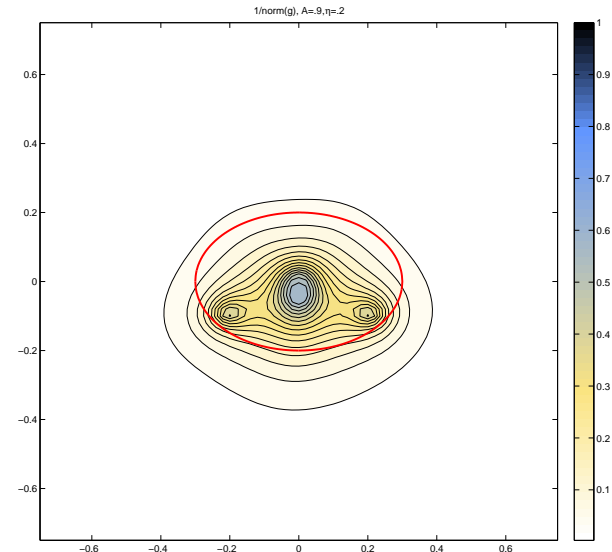
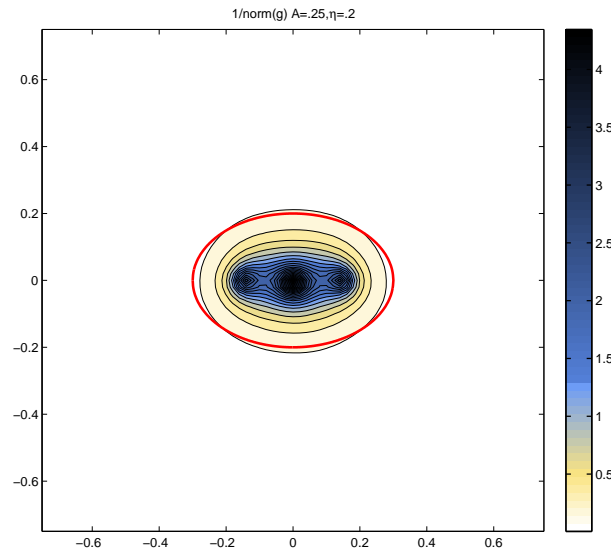
The solution of the inverse problem is based on the use of the regularized solution  $g_{z_i}$  of the far field equation and  $v_{g_{z_i}}$

**Open question:** Does  $g_{z_i}$  and  $v_{g_{z_i}}$  behaves in the same way as the theoretical  $g_z^\epsilon$  and  $v_{g_z^\epsilon}$ ?

*Arens and Lechleiter (2007) have answered positively to this question in the particular case when the far field operator is normal.*

# Determination of $D$

We plot level curves  $1/\|g_{z_i}\|_{\ell^2} = c$ . The boundary is obtained for  $c = \theta(\max_z(1/\|g_{z_i}\|)) + (1 - \theta)(\min_z(1/\|g_{z_i}\|))$ ,  $0 < \theta < 1$ .



Left it is shown the reconstruction of the partially coated (on the lower half) ellipse with  $a = 0.25$  and  $\eta = 0.2$ . Right is shown the reconstruction of the partially coated (on the lower half) ellipse with  $a = 0.9$  and  $\eta = 0.2$ , Cakoni-Sini-Zeev (2007).

# Determination of $\eta$

**Recall** that for  $z \in D$ ,  $v_{g_z}$  (where  $g_z$  is an approximate solution of the far field equation) converges to  $v_z$  where  $v_z, w_z$  is the solution of

$$\begin{aligned}\nabla \cdot A \nabla w_z + k^2 w_z &= 0 && \text{in } D \\ \Delta v_z + k^2 v_z &= 0 && \text{in } D \\ w_z - v_z &= \Phi(\cdot, z) && \text{on } \Gamma_1 \\ w_z - v_z &= \Phi(\cdot, z) - i\eta \frac{\partial}{\partial \nu} (v_z + \Phi(\cdot, z)) && \text{on } \Gamma_2 \\ \frac{\partial w_z}{\partial \nu_A} - \frac{\partial v_z}{\partial \nu} &= \frac{\partial}{\partial \nu} \Phi(\cdot, z) && \text{on } \partial D.\end{aligned}$$

provided that  $k$  is not a transmission eigenvalue.

# Determination of $\eta$

Assuming that  $\mathcal{I}m(\bar{\xi} \cdot A\xi) = 0$ , one can show that (Cakoni-Colton-Monk (2005)) for any  $z_1, z_2 \in D$

$$2 \int_{\partial D_c} \eta(x) \frac{\partial[v_{z_1}(x) + \Phi(x, z_1)]}{\partial\nu} \overline{\frac{\partial[v_{z_2}(x) + \Phi(x, z_2)]}{\partial\nu}} ds_x$$

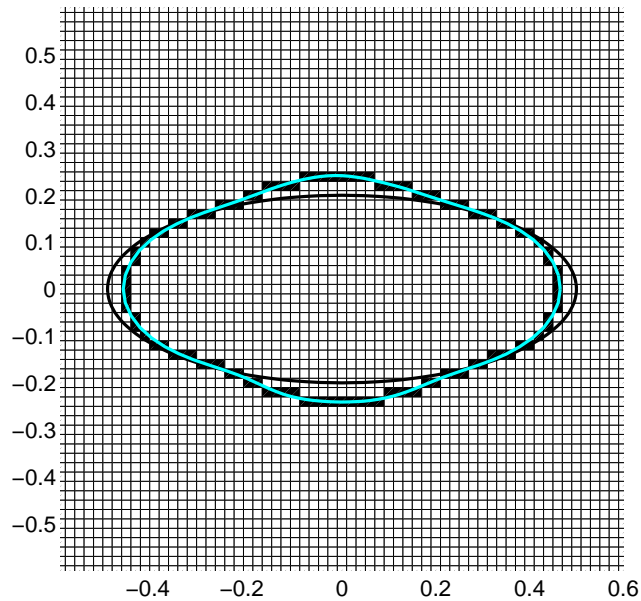
$$= -\frac{1}{2} J_0(k|z_1 - z_2|) + iv_{z_1}(z_2) - i\bar{v}_{z_2}(z_1)$$

which allows to estimate  $\|\eta\|_{L^\infty(\partial D_c)}$ . In particular if  $\eta$  is constant

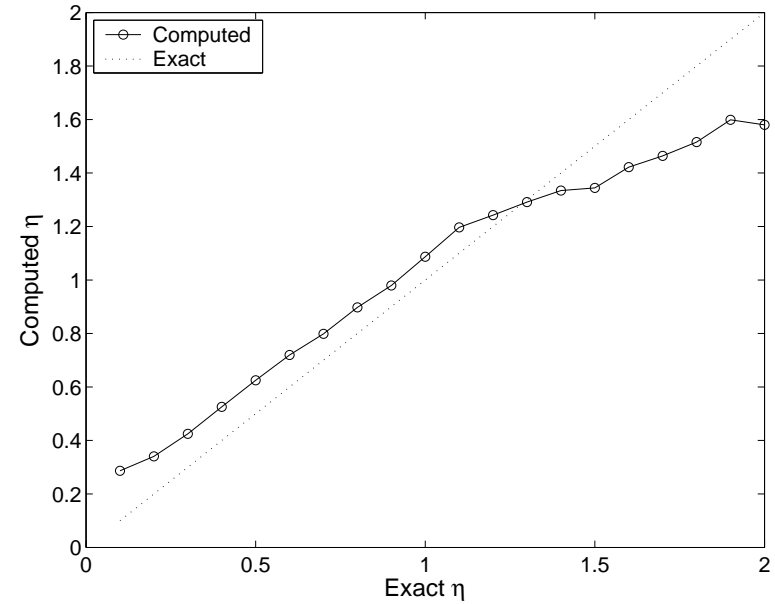
$$\eta = \frac{-\frac{1}{4} - \mathcal{I}m(v_z(z))}{\left\| \frac{\partial}{\partial\nu} (v_z + \Phi(\cdot, z)) \right\|_{L^2(\partial D_c)}^2} \quad z \in D.$$

**Recall** that  $v_z(z) \approx v_{g_z}(z)$  where  $g_z$  is the regularized solution of the far field equation.

# Numerical Examples



Reconstruction of  $D$   
(fully coated)



Reconstruction of  $\eta$   
(with reconstructed  $\partial D$ )

# Complete Identification of the Object

Assume now that  $A = aI$ ,  $\mathcal{I}m(a) = 0$ ,  $a \in C^2$  and  $\eta \in C^2$ .  
We consider the solution  $g_{z,j}$  of the modified far field equation

$$(Fg)(\hat{x}) = \left[ \frac{\partial \Phi(x, z)}{\partial x_j} \right]_{\infty} \quad j = 1, 2.$$

$$\nabla \cdot A \nabla w_z + k^2 w_z = 0 \quad \text{and} \quad \Delta v_z + k^2 v_z = 0 \quad \text{in } D$$

$$w_z - v_z = \frac{\partial}{\partial x_j} \Phi(x, z) \quad \text{on } \partial D_t$$

$$w_z - v_z = \frac{\partial}{\partial x_j} \Phi(x, z) - i\eta \frac{\partial}{\partial \nu} \left( v_z + \frac{\partial}{\partial x_j} \Phi(x, z) \right) \quad \text{on } \partial D_c$$

$$\frac{\partial w_z}{\partial \nu_A} - \frac{\partial v_z}{\partial \nu} = \frac{\partial}{\partial \nu} \left( \frac{\partial}{\partial x_j} \right) \Phi(x, z) \quad \text{on } \partial D$$

# Complete Identification of the Object

- We do a local analysis of the solution  $v_{z,j}, w_{z,j}$  of the corresponding interior transmission problem by comparing them locally at boundary points to the solution of an appropriate problem in the half space which can be solve explicitly.
- A basic ingredient is the point-wise estimate of the Green's function of the interior transmission problem, Nakamura (2007).

# Identification of the Coated Part

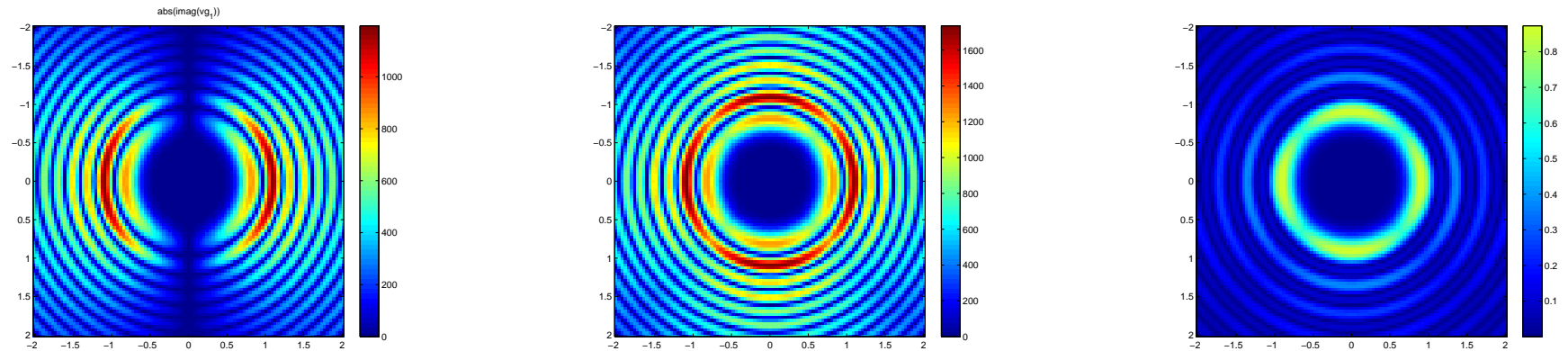
Having reconstructed the boundary  $\partial D$  as above we can further obtain, Cakoni-Sini-Zeev (2007)

The coated part  $\partial D_c$  can be distinguished from the uncoated part  $\partial D_t$  by

$$\lim_{z \rightarrow z_0} \frac{|\operatorname{Im}(v_{z,j}(z))|}{|\ln |(z - z_0) \cdot \nu(z_0)||^s} = \begin{cases} 0 & \text{if } z_0 \in \partial D_t \\ \infty & \text{if } z_0 \in \partial D_c, \end{cases} \quad s \in (0, 1)$$

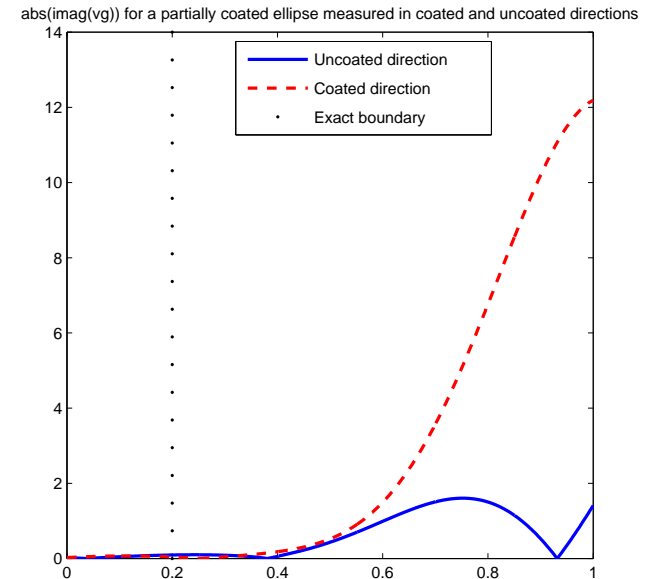
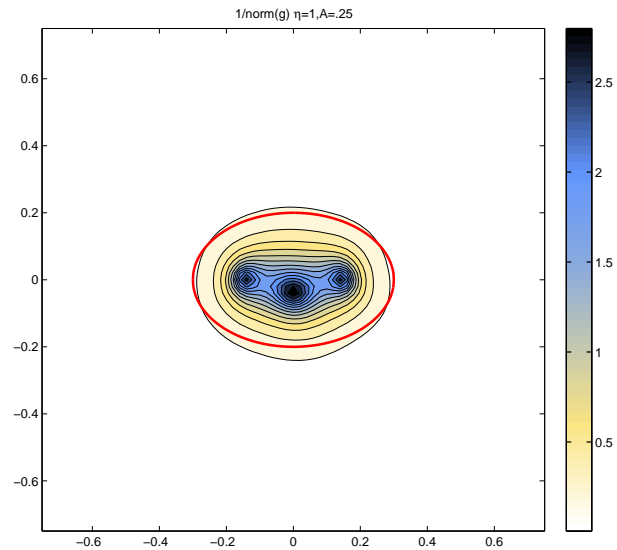
**Recall** that  $v_{z,j}(z) \approx v_{g_{z,j}}(z)$  where  $g_{z,j}$  is the regularized solution of the modified far field equation.

# Identification of the Coated Part



Left plot shows  $|\mathcal{I}m(v_{g_{z,1}}(z))|$  for the coated disk with  $a = 1.5$  and  $\eta = 1$ , middle plot shows  $|\mathcal{I}m(v_{g_{z,1}}(z))| + |\mathcal{I}m(v_{g_{z,2}}(z))|$  for the coated disk with  $a = 1.5$  and  $\eta = 1$  and the right plot shows  $|\mathcal{I}m(v_{g_{z,1}}(z))| + |\mathcal{I}m(v_{g_{z,2}}(z))|$  for the uncoated disk with  $a = 1.5$

# Identification of the Coated Part



Left it is shown the reconstruction of the partially coated (on the lower half) ellipse with  $a = 0.25$  and  $\eta = 1$ . Right is shown the behavior of  $|\mathcal{I}m(v_{g_{z,1}}(z))|$  at the coated and uncoated boundary points for the reconstructed ellipse on the left.

# Determination of $\eta$ and $a$

- For  $z_0 \in \partial D_c$

$$\eta(z_0) = \lim_{z \rightarrow z_0} \frac{-\nu_j(z_0) \ln |(z - z_0) \cdot \nu(z_0)|}{\pi \mathcal{I}m(v_{z,j}(z))} \quad j = 1, 2.$$

- For  $z_0 \in \partial D_t$

$$\frac{a(z_0) - 1}{a(z_0) + 1} = \frac{\nu_j(z_0)}{4\pi \lim_{z \rightarrow z_0} \mathcal{R}e(v_{z,j}(z))(z - z_0) \cdot \nu(z_0)} \quad j = 1, 2.$$

**Recall** that  $v_{z,j}(z) \approx v_{g_{z,j}}(z)$  where  $g_{z,j}$  is the regularized solution of the modified far field equation.

# Reconstruction of the Normal Vector

$$\nu(z_0) = (\nu_1(z_0), \nu_2(z_0)) \quad \text{where}$$

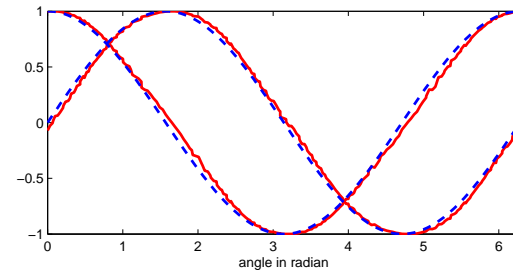
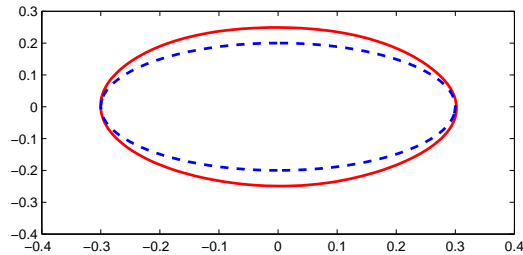
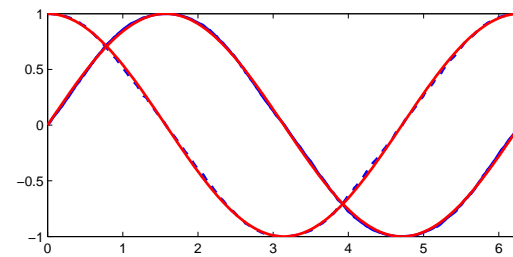
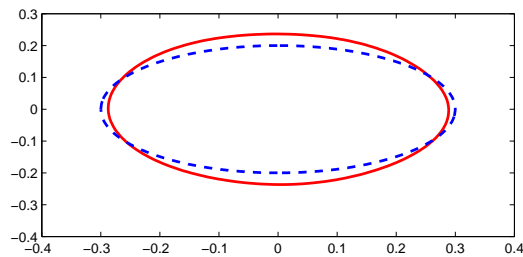
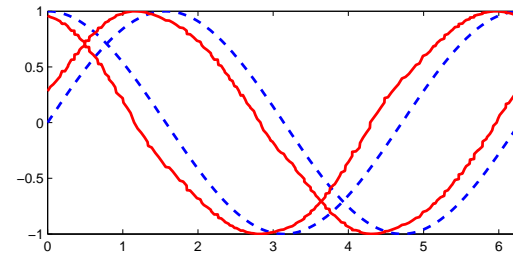
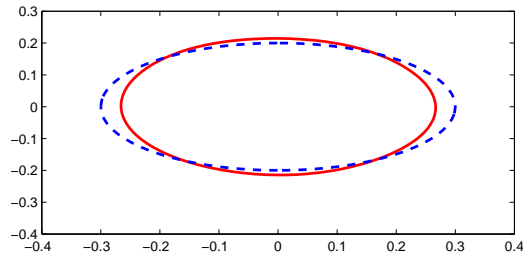
$$\nu_1(z_0) = \lim_{z \rightarrow z_0} \pm \frac{\operatorname{Re}(v_{z,1}(z))}{\operatorname{Re}(v_{z,2}(z))} \sqrt{\frac{1}{1 + \left[ \frac{\operatorname{Re}(v_{z,1}(z))}{\operatorname{Re}(v_{z,2}(z))} \right]^2}}$$

$$\nu_2(z_0) = \lim_{z \rightarrow z_0} \pm \sqrt{\frac{1}{1 + \left[ \frac{\operatorname{Re}(v_{z,1}(z))}{\operatorname{Re}(v_{z,2}(z))} \right]^2}}$$

and the sign is chosen so that  $\nu(z_0)$  is oriented outside  $D$ .

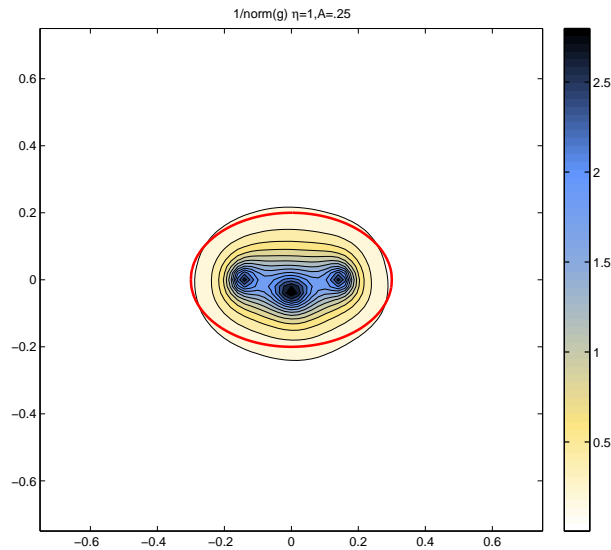
**Recall** that  $v_{z,j}(z) \approx v_{g_{z,j}}(z)$  where  $g_{z,j}$  is the regularized solution of the modified far field equation.

# Reconstruction of the Normal Vector



Fully coated ellipse with  $\eta = 0.2$  and  $a = 1.5$ .

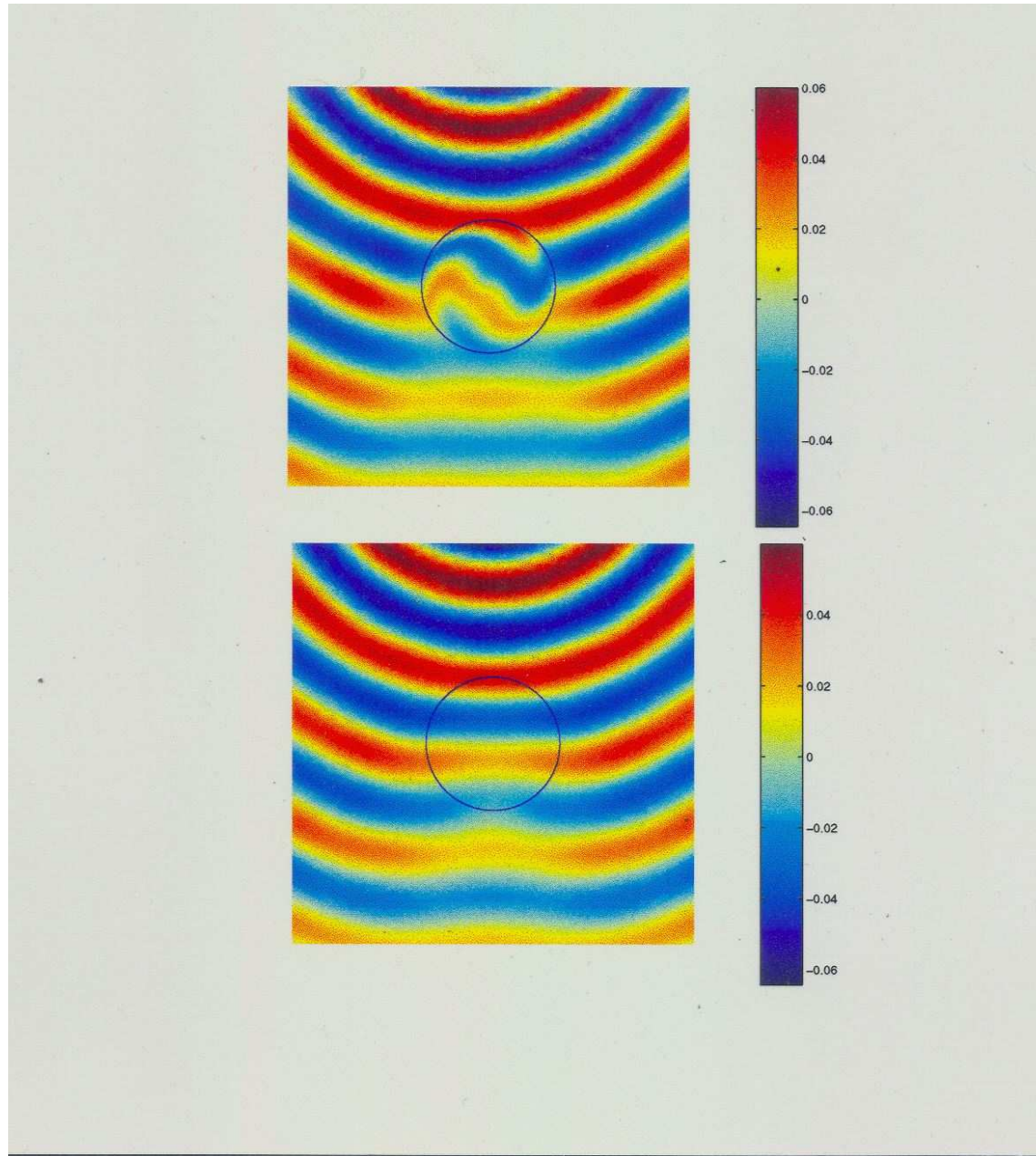
# Determination of $\eta$ and $a$



Exact $\eta, a$	Reconstructed $\eta, a$
0.1, 1.1	0.1204, 1.1407
0.1, 1.5	0.1427, 1.6848
0.2, 1.5	0.2892, 1.7904
1, 1.5	1.3147, 1.8601

Left it is shown the reconstruction of the partially coated (on the lower half) ellipse with  $a = 1.5$  and  $\eta = 1$ . The table shows reconstructed values of  $\eta$  and  $a$  for the partially coated ellipse

# Non-uniqueness for anisotropic media



# Interior Transmission Problem

What, if anything, can be said about  $A$  from a knowledge of  $u_\infty$ ?

Recall two results we have proven:

- Any transmission eigenvalue  $k > 0$  must satisfy

$$k^2 \geq \frac{\lambda(D)}{\sup_D \|A^{-1}\|_2} \text{ if C1 holds} \quad \text{and} \quad k^2 > \lambda(D) \text{ if C2 holds,}$$

where  $\lambda(D)$  is the first eigenvalue of  $-\Delta$  on  $D$ .

- If  $k$  is a transmission eigenvalue and  $v_z$  is a Herglotz wave function then the far field operator  $F : L^2(\Omega) \rightarrow L^2(\Omega)$  is not injective with dense range.

# Estimates for $A$

The first results provides an estimate for the 2-norm of  $A$

- Assume that  $\|A^{-1}(x)\|_2 \geq \delta > 1$  for all  $x \in D$  and some constant  $\delta$ . Then,

$$\sup_D \|A^{-1}\|_2 \geq \frac{\lambda(D)}{k^2}$$

- Assume that  $0 < \beta \leq \|A^{-1}(x)\|_2 \leq \delta < 1$  for all  $x \in D$  and some constants  $\beta$  and  $\delta$ . Then,

$$k^2 > \lambda(D)$$

where  $k$  is the first transmission eigenvalue and  $\lambda(D)$  is the first eigenvalue of  $-\Delta$  on  $D$ .

# Computation of Eigenvalues

The second results provide a way to compute the first transmission eigenvalue from the far field.

In particular, the norm of the (regularized) solution to

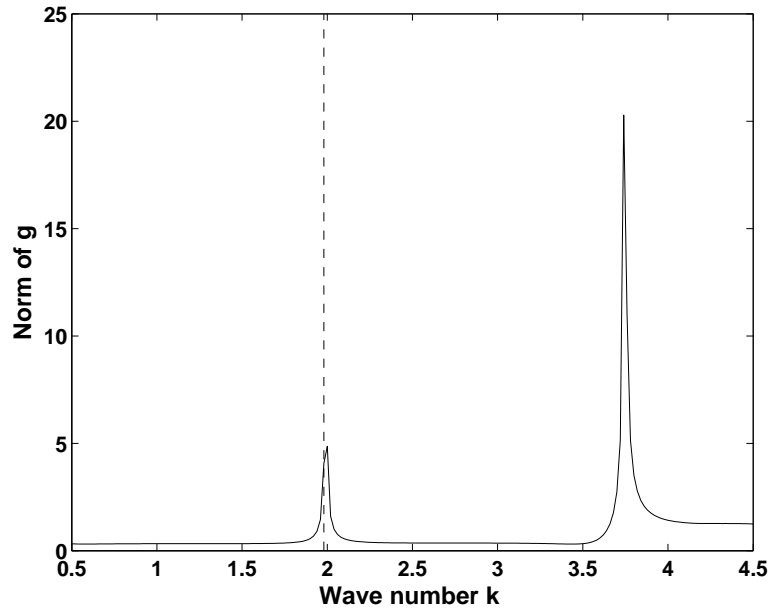
$$(Fg)(\hat{x}) = \Phi_{\infty}(\hat{x}, z_0) \quad z_0 \in D$$

should be large for such values of  $k$ .

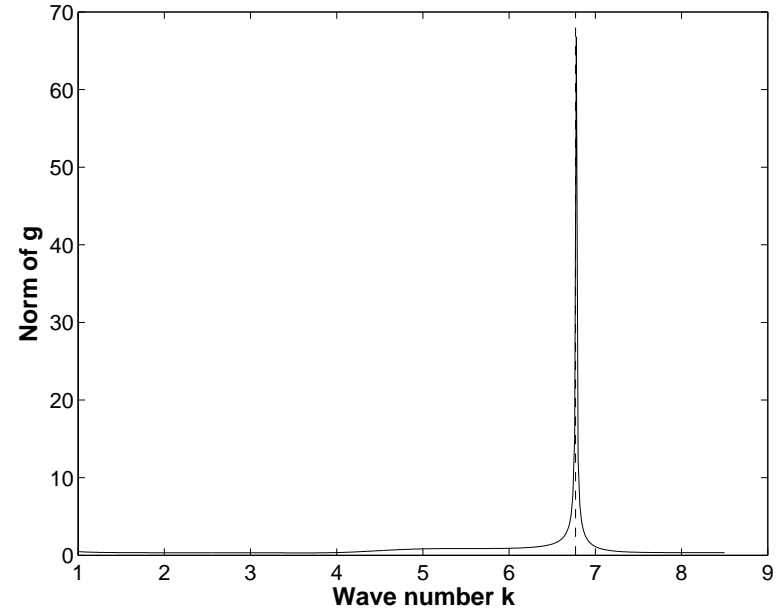
Cakoni-Colton-Haddar (2007)

# Numerical Examples

$D$  is a disk of diameter 1,  $A^{-1} = nI$



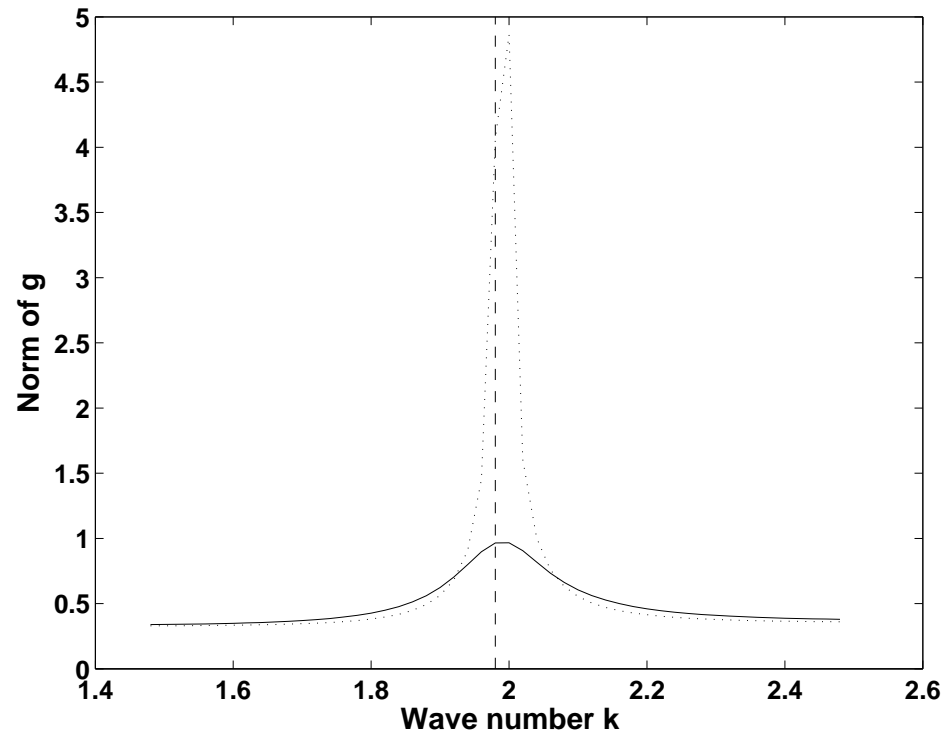
$$n = 16$$



$$n = 4$$

# Numerical Examples

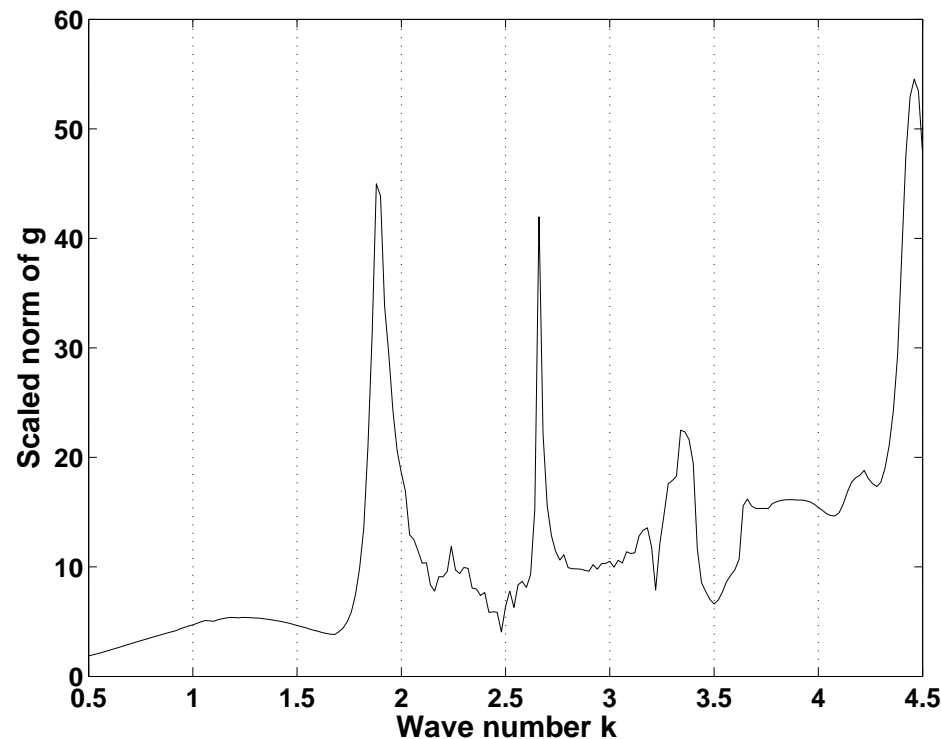
$D$  is a disk of diameter 1.



$$n = 16 + i, \eta = 0$$

# Numerical Examples

$D$  is the L-shape =  $\{[-0.5, 0.5] \times [-0.5, 0.5]\} \setminus \{]0, 0.5] \times ]0, 0.5]\}$ ,  
 $A^{-1} = nI$  and  $\eta = 0$ .



Transmission eigenvalues computed from the far field equation for

$$n = 16.$$

# Numerical Examples

$D$  is the L-shape =  $\{[-0.5, 0.5] \times [-0.5, 0.5]\} \setminus \{[0, 0.5] \times [0, 0.5]\}$ ,  
 $A^{-1} = nI$ ,  $\eta = 0$  and  $\lambda(D) = 38.6$

$n$	2.	3.	4.	6.	9.	12.	16.
$k_0$	15.5	8.1	6.3	4.5	3.3	2.8	2.3
$n_{\min}$	0.2	0.6	1.	1.9	3.5	4.9	7.2

First transmission eigenvalues ( $k_0$ )  
and lower bounds of the index of refraction  $A^{-1} = nI$

# Numerical Examples

$D$  is the rectangle  $[-0.5, 0.5] \times [-0.4, 0.4]$

