

Mixed Boundary Value Problems in Inverse Electromagnetic Scattering

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Research supported by AFOSR

Introduction

The inverse scattering problem we are considering is to **determine the shape and physical properties of an obstacle** from a knowledge of the scattered field due to the scattering of an incident time-harmonic electromagnetic wave at fixed frequency.

- A solution is needed in real time.
- The scattering obstacle may be either penetrable, a perfect conductor or partially coated but such information is not known a priori.
- Often only partial information on the scatterer is needed.

Mixed Boundary Value Problems

Mixed boundary value problems in electromagnetic scattering theory arise when the scattering object is a composite material such that parts of the scatterer have different electrical properties.

Such scattering objects can be:

- Partially coated perfect conductors.
- Thin objects with one side a perfect conductor and the other side an imperfect conductor or dielectric.
- Partially coated dielectrics.

Mixed Boundary Value Problems

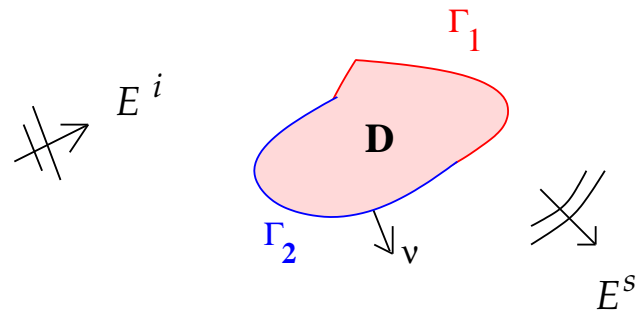
The direct scattering problem

- The mathematical analysis of mixed boundary value problems is difficult due to the non-standard solution space.
- No matter how smooth the boundary data is, the change of boundary conditions causes the scattered field to be singular at the interface. This gives rise to numerical difficulties.

The inverse scattering problem

- Since the physical structure of the composite medium is not known a priori, the use of weak scattering approximations and/or nonlinear optimization techniques are problematic.

Scattering by a Coated Dielectric



The scattered field E^s , H^s and the interior field E^{int} , H^{int} satisfy the equations

$$\left\{ \begin{array}{l} \nabla \times E^s - ikH^s = 0 \\ \nabla \times H^s + ikE^s = 0 \end{array} \right. \quad \text{in } D_e := \mathbb{R}^3 \setminus \overline{D}$$

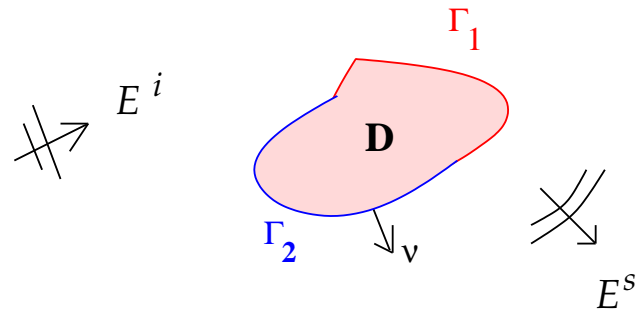
$$\left\{ \begin{array}{l} \nabla \times E^{int} - ikH^{int} = 0 \\ \nabla \times H^{int} + ikN(x)E^{int} = 0 \end{array} \right. \quad \text{in } D$$

$$\lim_{|x| \rightarrow \infty} (H^s \times x - |x|E^s) = 0,$$

N is a symmetric matrix, $\bar{\xi} \cdot \Re(N)\xi \geq \gamma|\xi|^2$, $\gamma > 0$

and $\bar{\xi} \cdot \Im(N)\xi \geq 0$.

Scattering by a Coated Dielectric



Let $\eta \in L^\infty(\Gamma_2)$, $\eta > 0$, be the surface conductivity and Γ a Lipschitz boundary.

Then

$$\nu \times E^s - \nu \times E^{int} = -\nu \times E^i \quad \text{on } \Gamma = \Gamma_1 \cup \Gamma_2$$

$$\nu \times H^s - \nu \times H^{int} = \nu \times H^i \quad \text{on } \Gamma_1$$

$$\nu \times H^s - \nu \times H^{int} = -\nu \times H^i + \eta(x) [\nu \times (E^s + E^i)] \times \nu \quad \text{on } \Gamma_2$$

where $E^i = \frac{i}{k} \nabla \times \nabla \times p e^{ikx \cdot d}$, $H^i = \nabla \times p e^{ikx \cdot d}$, $p \in \mathbb{R}^3$.

Inverse Problem

Theorem (Cakoni-Colton): *There exists a unique solution $E^{int}, H^{int} \in H(\text{curl}, D)$, $E^s, H^s \in H_{loc}(\text{curl}, D_e)$ such that $\nu \times E^{int}, \nu \times E^s \in L^2(\Gamma_2)$ of the scattering problem.*

The scattered electric field E^s has the asymptotic behavior

$$E^s(x) = \frac{e^{ikr}}{r} \left\{ E_\infty(\hat{x}, d, p) + O\left(\frac{1}{r}\right) \right\}, \quad r = |x|, \hat{x} = x/r.$$

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The **inverse scattering problem** reads

Determine D and η from a knowledge of $E_\infty(\hat{x}, d, p)$ for $\hat{x} \in \Omega_0 \subset \Omega$, $d \in \Omega_1 \subset \Omega$ and three linearly independent polarizations p , where $\Omega := \{x, |x| = 1\}$.

Uniqueness Theorems

Theorem (Cakoni-Colton): D is uniquely determined by the electric far field pattern $E_\infty(\hat{x}, d, p)$ for $\hat{x} \in \Omega_0$ and $d \in \Omega_1$ and three linearly independent polarizations p_1, p_2, p_3 .

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Remark: The matrix $N(x)$ is **not** uniquely determined by the far field pattern!

Theorem (Cakoni-Colton-Monk): Let $E_\infty^j(\hat{x}, d, p)$ be the electric far field pattern corresponding to a fixed matrix $N(x)$ but different coatings $\eta = \eta_j, j = 1, 2$. Assume that k is not a Maxwell eigenvalue for $D = \{x : I - N(x) \neq 0\}$. Then if $E_\infty^1(\hat{x}, d, p) = E_\infty^2(\hat{x}, d, p)$ for $\hat{x} \in \Omega_0, d \in \Omega_1$ and three linearly independent polarizations $p \in \mathbb{R}^3$, we have that $\eta_1(x) = \eta_2(x)$ for $x \in \Gamma_2$

Electric Dipoles

The radiating solution to Maxwell's equations

$$E_e(x, z, q) := \frac{i}{k} \nabla_x \times \nabla_x \times q \Phi(x, z)$$

$$H_e(x, z, q) := \nabla_x \times q \Phi(x, z)$$

with

$$\Phi(x, z) := \frac{1}{4\pi} \frac{e^{ik|x-z|}}{|x-z|}, \quad q \in \mathbb{R}^3$$

is called the **electric dipole** located at z and polarized in the direction $q \in \mathbb{R}^3$.

$E_{e,\infty}(\hat{x}, z, q)$ denotes the **far field pattern** of the corresponding electric field.

Far Field Operator

We define the **far field operator** $F : L_t^2(\Omega) \rightarrow L_t^2(\Omega)$ by

$$(Fg)(\hat{x}) := \int_{\Omega} E_{\infty}(\hat{x}, d, g(d)) ds(d).$$

Given $g \in L_t^2(\Omega)$, Fg is the far field pattern of the scattered field corresponding to the incident field being a Herglotz wave function with kernel g given by

$$E_g(x) := ik \int_{\Omega} e^{ikx \cdot d} g(d) ds(d).$$

The Far Field Equation

Let $z \in D$ and consider the **far field equation**

$$(Fg)(\hat{x}) = E_{e,\infty}(\hat{x}, z, q).$$

Suppose that g solves the far field equation.

Rellich's Lemma $\implies E^s(x) = E_e(x, z, q)$ in $\mathbb{R}^3 \setminus \overline{D}$

In particular, $E_z^{int} := E^{int}$ and $E_z := E_g$ satisfy the **interior transmission problem**

Interior Transmission Problem

$$\left\{ \begin{array}{l} \nabla \times (\nabla \times E_z^{int}) - k^2 N(x) E_z^{int} = 0 \\ \nabla \times (\nabla \times E_z) - k^2 E_z = 0 \end{array} \right. \quad \text{in } D$$

$$\nu \times (E_z^{int} - E_z) = \nu \times E_e(\cdot, z, q) \quad \text{on } \Gamma = \Gamma_1 \cup \Gamma_2$$

$$\nu \times [\nabla \times (E_z^{int} - E_z)] = \nu \times (\nabla \times E_e(\cdot, z, q)) \quad \text{on } \Gamma_1$$

$$\nu \times [\nabla \times (E_z^{int} - E_z)] = \left\{ \begin{array}{l} \nu \times (\nabla \times E_e(\cdot, z, q)) \\ -ik\eta(x)\nu \times (E_z + E_e(\cdot, z, q)) \times \nu \end{array} \right. \quad \text{on } \Gamma_2$$

Interior Transmission Problem

It is easy to show that if the **homogeneous interior transmission problem** (i.e. $E_e(\cdot, z, q) = 0$) has only the trivial solution then the far field operator $F : L_t^2(\Omega) \rightarrow L_t^2(\Omega)$ is **injective with dense range**.

Values of k for which the homogeneous interior transmission problem has nonzero solutions are called **transmission eigenvalues**.

The existence and uniqueness of a solution to the interior transmission problem for an absorbing medium has to date **only** been proved for the case when $\eta = 0!$ (*Haddar 2004*)

Nothing is known about transmission eigenvalues!

Interior Transmission Problem

We can prove the following completeness result:

Theorem (Cakoni-Monk): *There exists a solution E of*

$$\nabla \times (\nabla \times E) - k^2 N(x)E = 0 \text{ in } D$$

and a Herglotz wave function E_g satisfying

$$\nabla \times (\nabla \times E_g) - k^2 E_g = 0 \text{ in } D$$

such that E and E_g satisfy the interior transmission boundary data to arbitrary accuracy.

In this case the **Herglotz kernel** g is an approximate solution to the **far field equation**.

Solving the Far Field Equation

Using the approximation properties of Herglotz wave functions and the theory of ill-posed problems we can prove the following theorem:

Theorem (Cakoni-Monk): Assume that k is not a transmission eigenvalue. Then, for every $\epsilon > 0$ there exists g_z^ϵ such that

$$\|F g_z^\epsilon - E_{e,\infty}(\cdot, z, q)\|_{L^2(\Omega)} \leq \epsilon \quad \text{and}$$

- For $z \in D$, $\lim_{\epsilon \rightarrow 0} \|E_{g_z^\epsilon}\|_{X(D, \Gamma_2)} < \infty$
- For each $\epsilon > 0$, $\lim_{z \rightarrow \partial D} \|E_{g_z^\epsilon}\|_{X(D, \Gamma_2)} = \infty$
- For $z \in \mathbb{R}^3 \setminus \overline{D}$, $\lim_{\epsilon \rightarrow 0} \|E_{g_z^\epsilon}\|_{X(D, \Gamma_2)} = \infty$.

Here $X(D, \Gamma_2) := \{u \in H(\text{curl}, D), \nu \times u|_{\Gamma_2} \in L_t^2(\Gamma_2)\}$.

Linear Sampling Method

The linear sampling method determines g from the far field equation $Fg = E_{e,\infty}$.

The support D can be determined by the behavior of g . In particular, $\|E_g\|_{X(D,\Gamma_2)} \rightarrow \infty$ implies $\|g\|_{L^2(\Omega)} \rightarrow \infty$.

Open Problem: In practice g is obtained by using a regularization method such as Tikhonov regularization. Does this regularized solution behave in the same way as the approximate solution g whose existence is given by the previous theorem?

This question has been answered positively in certain cases by *Arens (2004)* using the ideas of the factorization method developed by *Kirsch (1998)*.

Limited Aperture Data

In practice we have

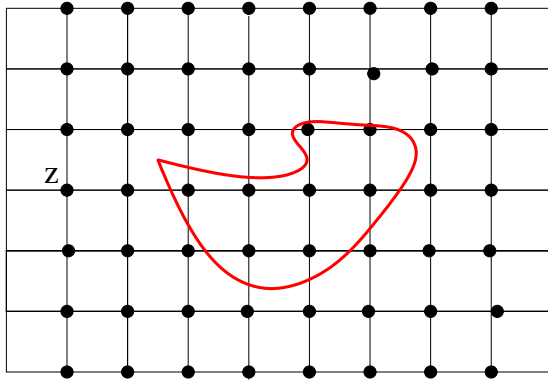
$$\int_{\Omega_1} E_\infty(\hat{x}, d, g(d)) ds(d) = E_{e,\infty}(\hat{x}, z, q) \quad \hat{x} \in \Omega_0.$$

Based on

Theorem (Cakoni-Colton): *With respect to the $X(D, \Gamma_2)$ norm the set of Herglotz wave functions with kernel supported in a subset Ω_1 of Ω is dense in $\mathbb{H} = \{u \in X(D, \Gamma_2) : \nabla \times \nabla \times u - k^2 u = 0 \text{ in } D\}$.*

the above discussion is applicable to the far field equation with **limited aperture data**.

Numerical Implementation



- Construct a grid \mathcal{G} .
- For $z_i \in \mathcal{G}$, solve the regularized far field equation

$$(\alpha I + F^* F) g_{z_i, q} = E_{e, \infty}(\hat{x}, z_i, q)$$

- Evaluate

$$G(z_i) = \frac{1}{3} \left(\|g_{z_i, q_1}\|_{\ell^2}^{-1} + \|g_{z_i, q_2}\|_{\ell^2}^{-1} + \|g_{z_i, q_3}\|_{\ell^2}^{-1} \right)$$

for $z_i \in \mathcal{G}$ and three linearly independent vectors $q_1, q_2, q_3 \in \mathbb{R}^3$.

- Fix $C > 0$ and visualize the boundary by plotting

$$G(z) = C \max_{z_i \in \mathcal{G}} G(z_i).$$

Determination of η

Theorem (Cakoni-Colton-Monk): For $z \in D$ and $q \in \mathbb{R}^3$ we have that

$$\eta = \frac{-\frac{k^2}{6\pi} \|q\|^2 + \Re(q \cdot E_z(z))}{\|\nu \times (E_z(\cdot) + E_e(\cdot, z, q))\|_{L_t^2(\Gamma_2)}^2}$$

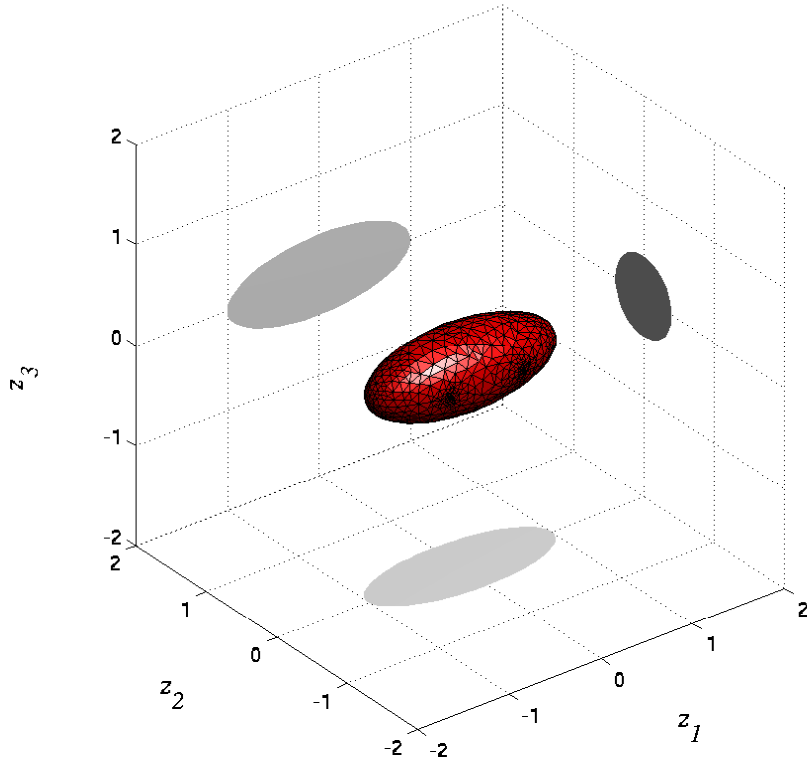
where E_z^{int} , E_z is the solution of the interior transmission problem (if it exists!).

Corollary: For $z \in D$, $q \in \mathbb{R}^3$, we have that

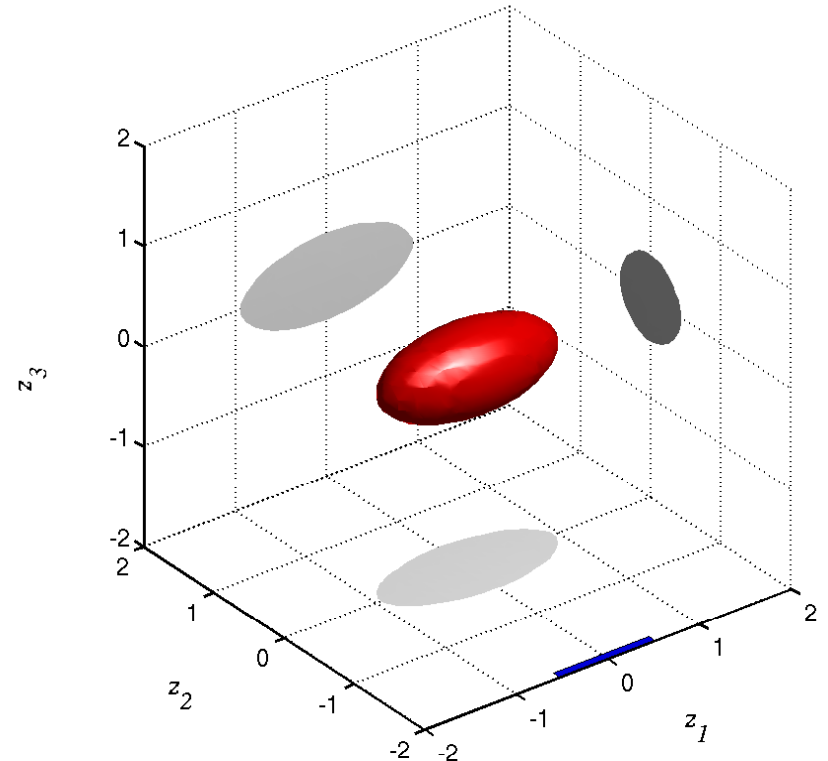
$$\eta \geq \frac{-\frac{k^2}{6\pi} \|q\|^2 + \Re(q \cdot E_z(z))}{\|E_z(\cdot) + E_e(\cdot, z, q)\|_{L^2(\Gamma)}^2}.$$

Note that E_z can be approximated by a Herglotz wave function with kernel g_z^ϵ and this g_z^ϵ is an approximate solution to the far field equation!

Examples of Reconstruction



Exact Geometry



Reconstruction

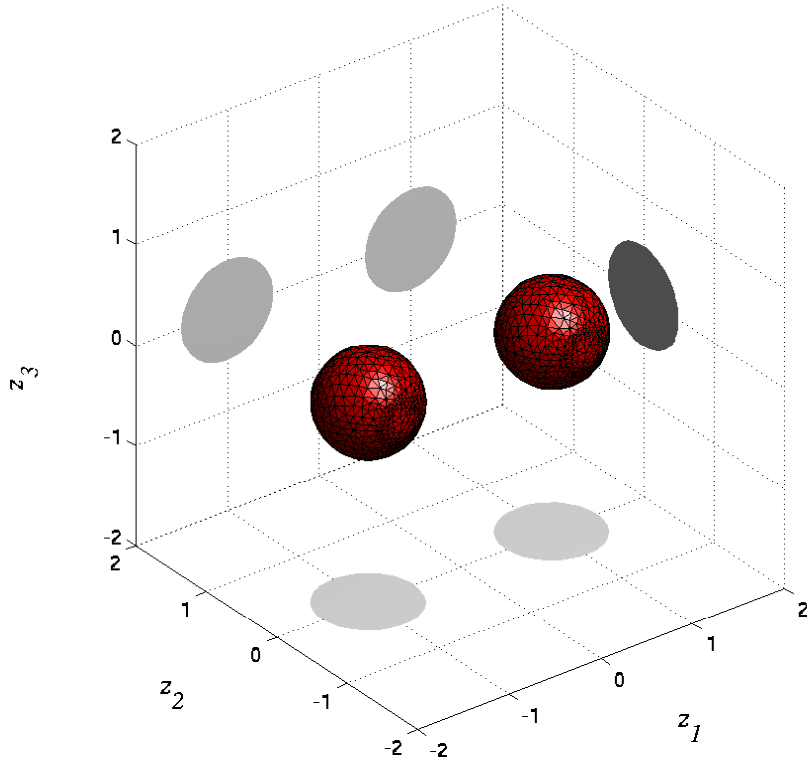
Reconstruction of a fully coated ellipsoid with $\eta = 1$ and $k = 6$.

Examples of Reconstruction

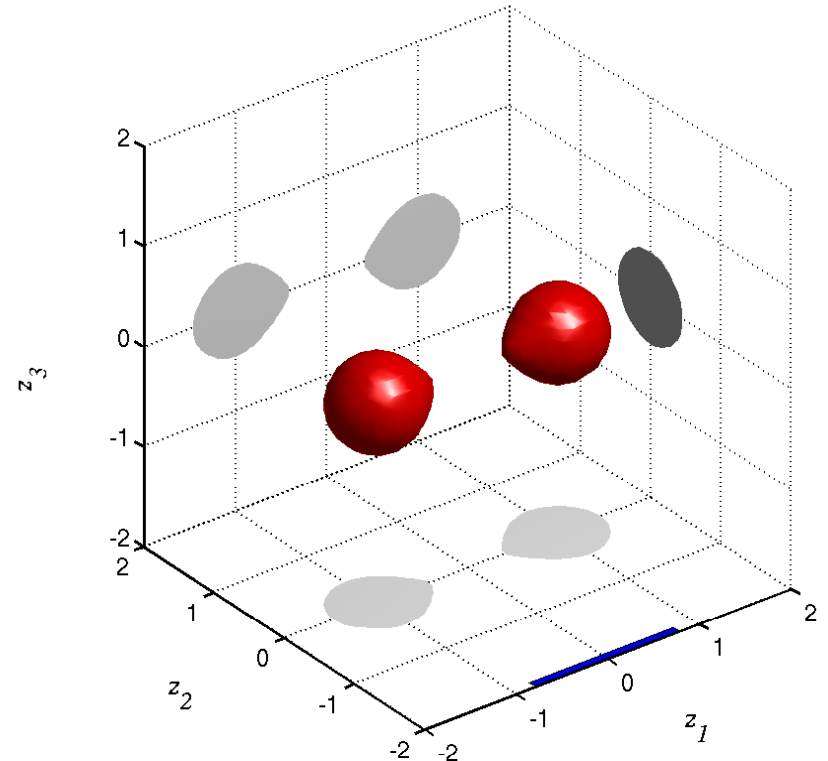
Conducting boundary condition: reconstruction of η			
Exact	Exact Γ	LSM	LSM/bound
0.0	-0.005	-0.01	-0.004
0.1	0.09	0.16	0.07
1	0.96	0.79	0.58
2	1.15	0.94	0.66

Reconstruction of η for the fully coated ellipsoid. Here $k = 6$.

Examples of Reconstruction



Exact Geometry



Reconstruction

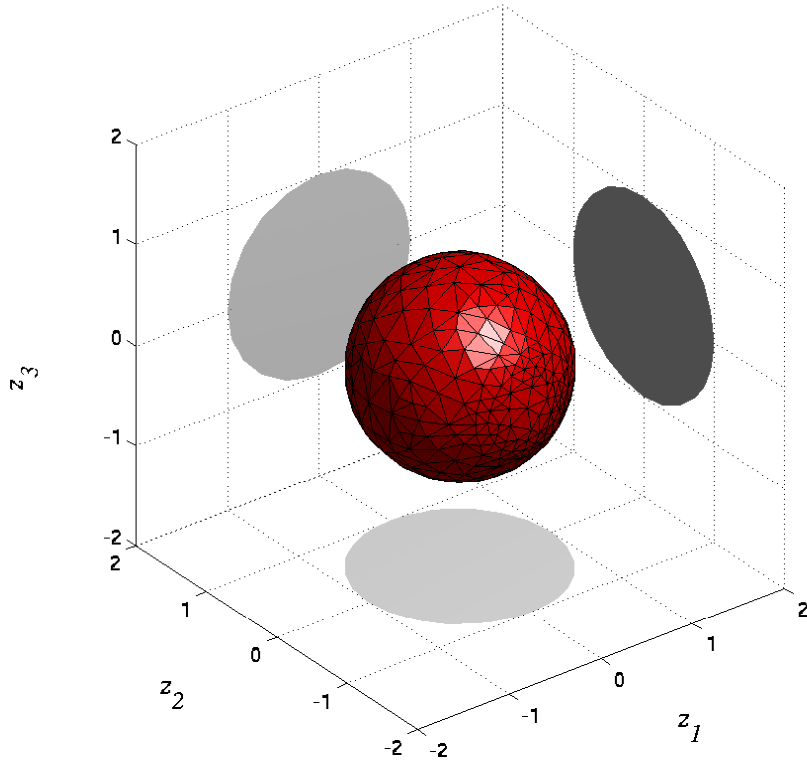
Reconstruction of fully coated two spheres with $\eta = 1$, $k = 4$.

Examples of Reconstruction

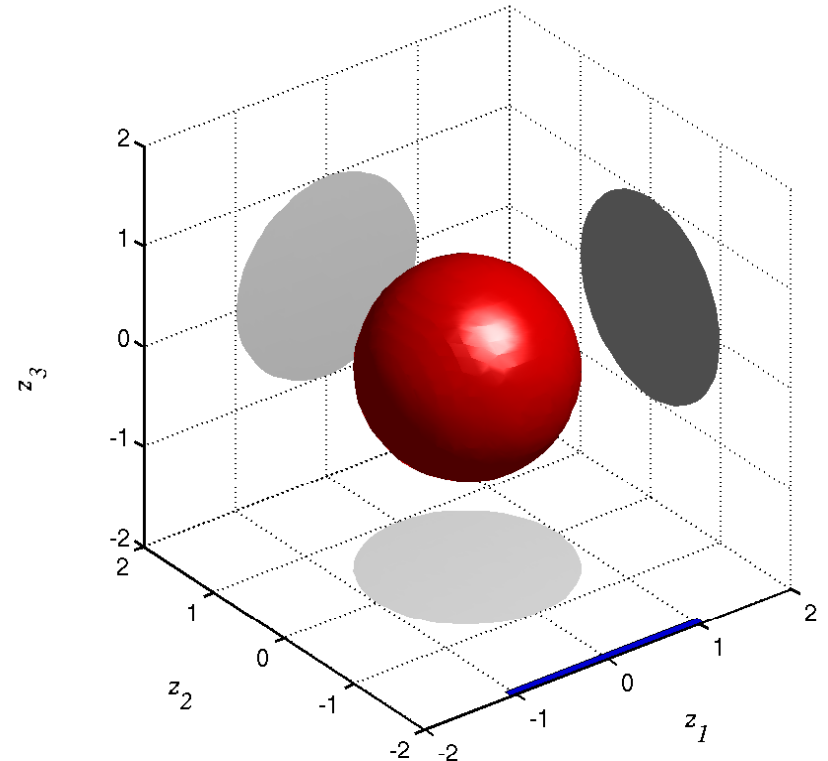
Conducting boundary condition: reconstruction of η			
Exact	Exact Γ	LSM	LSM/bound
0.1	0.11	0.13	0.011

Reconstruction of η for the fully coated two spheres, $k = 6$.

Examples of Reconstruction



Exact Geometry



Reconstruction

Reconstruction of a partially coated sphere. The coated portion Γ_2 is the hemisphere $x_2 > 0$. Here $\eta = 1$ and $k = 3$.

Examples of Reconstruction

Conducting boundary condition: reconstruction of η			
Exact	Exact Γ_2	LSM (Γ)	LSM/bound
0.1	0.045	0.037	0.027
1	0.94	0.52	0.43
2	2.00	0.81	0.65

Reconstruction of η for the partially coated sphere, $k = 3$.

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Qualitative Methods in Inverse Scattering Theory



Inverse scattering theory has been a particularly active and successful field in applied mathematics and engineering for the past twenty years. The increasing demands of imaging and target identification require new powerful and flexible techniques besides the existing weak scattering approximation or nonlinear optimization methods. One class of such methods comes under the general description of qualitative methods in inverse scattering theory. This textbook is an easily-accessible "class-tested" introduction to the field. It is accessible also to readers who are not professional mathematicians, thus making these new mathematical ideas in inverse scattering theory available to the wider scientific and engineering community.

Qualitative Methods in Inverse Scattering Theory

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FIORALBA CAKONI
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Qualitative Methods in Inverse Scattering Theory

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